



**ISITC 16th Annual
Industry Forum and Vendor Show**

March 21 - 24, 2010

**The Renaissance Boston Waterfront Hotel
Boston, MA**

INNOVATION: Are You In?



Keynote Speaker:

Marshall N. Carter

Chairman of NYSE Group
and Deputy Chairman of
NYSE Euronext

REGISTRATION BROCHURE

www.isitc.org

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(908) 359-1184

INNOVATION: Are You In?

in·no·va·tion

1. the introduction of something new
2. a new idea, method, or device
3. fundamental source of increasing wealth in an economy

Over the past 16 years, the ISITC organization has dedicated itself to improving and advancing the processes in the global securities industry through market practice and shared standards. As financial markets have constantly evolved, ISITC remains dedicated to developing invaluable recommendations for straight-through processing and other electronic trade and communications procedures. Now celebrating its 16th anniversary, the ISITC Vendor Show concentrates on the future of our industry as we continue our journey into a new world of innovation.

Last year, the ISITC Annual Conference focused on the extreme makeover of the financial industry with respect to new structure, regulation, and political administration. After this examination of the global transformation of the financial services industry, ISITC has dedicated their March 2010 program to exploring the new solutions and ideas that will ultimately produce a more proficient and resourceful global securities industry – ARE YOU IN?

At the ISITC 16th Annual Industry Forum and Vendor Show, you will learn new thought processes, creative techniques, and obtain the necessary tools for growth, as we acknowledge the importance of innovation in developing a new, and better, financial services industry.

Join us March 21 - 24, 2010 at the Renaissance Boston Waterfront Hotel in Boston, MA.

Register Online NOW at www.isitc.org!

ATTENDEE REGISTRATION:

Please register online at www.isitc.org. Upon completing the online registration, you will receive an e-mail confirmation. If you do not receive an e-mail confirmation the same day, please contact the office at (908) 359-1184.

Full Conference Registration:

ISITC Members: \$475

Non-Members: \$825

Includes: Access to the Exhibit Hall, Industry Forums, General Session, Working Group Sessions, the exhibitor cocktail receptions on Sunday and Monday evenings, and all conference meals.

One-Day Registration:

One-Day pass open to all: \$195

Includes: Access to the Exhibit Hall, Monday's General Session, the exhibitor cocktail receptions on Sunday and Monday evenings, and breakfast and lunch on Monday. Does not include the event dinner on Monday evening.

HOTEL RESERVATIONS:

Please make your hotel reservations by using the online conference registration form. All hotel reservations must be received by **Friday, February 26, 2010**. After that date, rooms will only be available on a best efforts basis, with no guarantee of the discounted rate. The Group Discount Room Rate is **\$239** per night.

SPOUSE/GUEST REGISTRATION:

A \$200 registration option is available for a guest (18 years or older) who is not in an industry-related occupation. This registration option only includes meals and does not include access to the General Session, Working Group Sessions, or Exhibit Hall. A co-worker, an associate, or spouse who works within the industry may not use the Spouse/Guest registration option.

PRESS REGISTRATION AND POLICY:

The Association welcomes representatives from the press at its General Session. One complimentary press pass per publication will be offered. Additional press passes may be purchased for the one-day attendee rate. All press representatives must wear a name badge and identify themselves. Industry Forums and Working Group Sessions will be closed to the press.

AGENDA AT A GLANCE



SUNDAY, MARCH 21, 2010

- 12:00 – 7:00 PM** *Conference Registration Open*
- 5:30 – 7:00 PM** *Vendor Exhibits Open*
- 12:00 – 2:30 PM** **Board of Directors Meeting**
Attendance limited to Board of Directors only
- 2:30 – 3:00 PM** **Board of Directors & Co-Chair Meeting**
Attendance limited to Board of Directors, and Working Group and Industry Forum Co-Chairs
- 3:00 – 3:30 PM** **New Member Orientation**
All new members and first time attendees welcome!
- 3:30 – 4:15 PM** **Joint Industry Forum Session**
Topics: Custodian Testing Best Practices & Service and Information Delivery Guidelines for Custodians
- 4:15 – 4:30 PM** **Coffee Break**
- 4:30 – 5:30 PM** **Individual Industry Forum Sessions**
 - Broker/Dealer
 - Custodian
 - Investment Manager
- 5:30 – 7:00 PM** **Cocktail Reception in the Exhibit Hall**

MONDAY, MARCH 22, 2010

- 7:30 AM – 6:30 PM** *Conference Registration & Vendor Exhibits Open*
- 7:30 – 8:30 AM** **Breakfast in the Exhibit Hall**
- 8:30 – 8:45 AM** **Welcoming Remarks / News from the Chair**
Gary J. Probert, Citibank, N.A.
- 8:45 – 9:15 AM** **Keynote Address**
Marshall N. Carter, Chairman of NYSE Group and Deputy Chairman of NYSE Euronext
- 9:15 – 10:15 AM** **Same Day Affirmation Smack Down**
Lee Cutrone, Omgeo, LLC.
Hal McIntyre, The Summit Group
Jeffrey T. Zoller, T. Rowe Price Associates, Inc.
- 10:15 – 10:30 AM** **Coffee Break in the Exhibit Hall**
- 10:30 – 11:30 AM** **OTC Markets**
Stephen Bruel, TowerGroup
Courtney Gavin, Brown Brothers Harriman
Bruce Garland, Bloomberg Tradebook, LLC.
- 11:30 AM – 1:30 PM** **Lunch in the Exhibit Hall**

- 12:15 – 12:45 PM** **Press Briefing**
- 1:30 – 2:30 PM** **Innovation in Investment Operations – Sourcing in the New Decade**
Jeanene Timberlake, Wall Street Letter
Steve Reydel, State Street
Patrick Schena, Headstrong
- 2:30 – 3:15 PM** **Cloud Computing**
Christopher Dziekan, IBM
Dennis Goodenough, SWIFT
Kevin McPartland, TABB Group
- 3:15 – 3:30 PM** **Coffee Break in the Exhibit Hall**
- 3:30 – 4:15 PM** **Innovations in Trading Technology**
James T. Leman, Westwater Corporation
- 4:30 – 6:00 PM** **Cocktail Reception in the Exhibit Hall**
- 6:30 PM** **Conference Dinner**

TUESDAY, MARCH 23, 2010

- 8:00 – 9:00 AM** **Breakfast/Annual Business Meeting**
- 9:00 – 10:30 AM** **Working Group Session I**
 - Corporate Actions I
 - Payments
 - Technology & Standards
- 10:30 – 10:45 AM** **Coffee Break**
- 10:45 AM – 12:15 PM** **Working Group Session II**
 - Reference Data
 - Settlements
 - Vendor Forum & Marketing Post Show Review
 - ISO Structure
- 12:15 – 1:30 PM** **Lunch**
- 1:30 – 3:00 PM** **Working Group Session III**
 - Corporate Actions II
 - Reconciliation
 - ISO Structure
- 3:00 – 3:15 PM** **Coffee Break**
- 3:15 – 4:45 PM** **Working Group Session IV**
 - Derivatives
 - Securities Lending
 - ISO Structure

WEDNESDAY, MARCH 24, 2010

- 8:00 – 9:00 AM** **Breakfast**
- 9:00 – 10:30 AM** **Working Group Session V**
 - Corporate Actions III

**All times, speakers, and sessions are tentative and subject to change.*

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DISTINGUISHED SPEAKERS:

STEPHEN BRUEL

*Research Director,
Securities & Investments
TowerGroup*

MARSHALL N. CARTER

*Chairman of NYSE Group and
Deputy Chairman of NYSE Euronext*

LEE J. CUTRONE

*Managing Director, Industry Relations
Omgeo, LLC.*

CHRISTOPHER DZIEKAN

WW Cloud Portfolio Executive, IBM

BRUCE GARLAND

*Chief Operation Officer
Bloomberg Tradebook, LLC.*

COURTNEY GAVIN

*Vice President, Investor Services
Derivatives Product Group
Brown Brothers Harriman*

DENNIS E. GOODENOUGH III

*Sr. Business Manager, Securities Initiatives,
SWIFT*

JAMES T. LEMAN

*Head of Capital Markets
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HAL MCINTYRE

Managing Partner, The Summit Group

KEVIN MCPARTLAND

Senior Analyst, TABB Group

GARY J. PROBERT

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JEFFREY T. ZOLLER

Vice President, T. Rowe Price Associates, Inc.

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